

## FINANCIAL SERVICES CORPORATE & REGULATORY TEAM

### OVERVIEW

Our attorneys focus on financial institutions on a daily basis and work to know your business, management team, competitors, market, regulators, customers, employees, and community. With our industry-specific focus and our years in the field, we are known and respected by banks, outside counsel at other firms and regulators across the country.

Our team focuses on acquisitions and sales, as well as corporate governance, regulatory, compliance and securities matters for more than 300 financial institution clients. In addition, we have a large number of attorneys in our firm who regularly provide litigation, lending, work-out, bankruptcy, employment law, employee benefits, fintech investment, emerging payments and prepaid cards, environmental, intellectual property, tax, technology and outsourcing, fiduciary law (including personal estate planning for bankers), real estate and REITs, white collar crime and similar legal advice for our financial institution clients. We enjoy being able to say that whatever problem a banker may have, we have a lawyer who has worked on a similar problem for a banker.

Our broad experience, coupled with our innovative solutions and our long standing ties to regulators, trade associations and service providers, make us invaluable assets to our clients who seek counsel for the following types of matters, among others:

- Mergers, Acquisitions & Sales
- Charter Conversions and Consolidations
- Corporate Governance
- Securities Offerings & Reporting
- Employee Benefits & Executive Compensation
- D&O Insurance and Risk Management
- Financial Institutions Litigation
- Lending
- Holding Companies

- Insurance & Other Financial Services
- Labor & Employment
- Investments in FinTech
- Development and Licensing of FinTech
- Emerging Payments & Prepaid Cards
- Emerging Technologies
- Workouts & Financial Restructuring

Our team, which includes former in-house counsel at major financial institutions, understands the variety of risk considerations involved in consumer regulatory enforcement. We work to achieve matter resolutions that meet both our clients' short term needs and broader strategic goals.

## HOW WE HAVE HELPED CLIENTS

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## MEET THE TEAM



### **James J. McAlpin Jr.**

Partner, Atlanta

[james.mcalpin@bclplaw.com](mailto:james.mcalpin@bclplaw.com)

[+1 404 572 6630](tel:+14045726630)

## RELATED PRACTICE AREAS

- Payment Systems

## AREAS OF FOCUS

- Community Banking Litigation
- De Novo Banking
- Going Private
- Subchapter S

- Wealth Management
- Workouts & Financial Restructuring

## RELATED INSIGHTS

Insights

Jul 08, 2024

### **Settlement Showdown – have you really settled your claim?**

In *Bin Obaid v Al-Hezaimi* [2024] EWCA Civ 612, the Court of Appeal upheld a High Court decision in finding that subsequent claims did not fall within the scope of claims which were released under an earlier settlement deed. It is a timely reminder of the need to pay careful attention to the drafting of release clauses and the way in which the “Claims” being settled are defined. This judgment suggests that the Courts will be slow to give a release clause an unduly wide interpretation, even when on its face, the release appears typically all-encompassing.

Insights

Jun 18, 2024

### **The CTA's Impact on Trusts**

Insights

Jun 05, 2024

### **UK Sustainability Disclosure Requirements – 2024 Update**

The Government's recently published Sustainability Disclosure Requirements (SDR): Implementation Update 2024 outlines the timeframes and next steps for each of the core elements of SDR, first proposed in 2021 to provide a more robust framework for corporate sustainability related reporting. In this Insight we summarise the latest position on UK Sustainability Reporting Standards, Transition Plan Disclosures, Investment Labels and Green Taxonomy.

Insights

Apr 29, 2024

### **Response to FCA's CP24/2**

Insights

Apr 25, 2024

### **FinCEN updates CTA FAQs for the first time in three months**

Insights

Feb 23, 2024

### **FinCEN proposes AML/CFT rules for investment advisers**

Events

Jan 25, 2024

### **Katherine Cooper presents at ABA's Derivatives and Futures Law 2024 Winter Committee Meeting**

Insights

Dec 05, 2023

## **Deadlines fast approaching for Corporate Transparency Act compliance**

News

Nov 10, 2023

## **BCLP names global group to partnership**