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Associate

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BIOGRAPHY

Anthony is an Associate in the firm's Financial Services Disputes and Investigations team, based in the London office. Anthony specialises in investigations, litigation and regulatory advisory matters for clients in the financial services sector.

ADMISSIONS

- England and Wales

RELATED PRACTICE AREAS

- Business & Commercial Disputes
- Intellectual Property and Technology Disputes
- Litigation & Dispute Resolution
- Class Actions & Mass Torts
- Anti-Bribery & Corruption
- Financial Regulation Compliance & Investigations
- Investigations
- Anti-Money Laundering Compliance
- Regulation, Compliance & Advisory

EXPERIENCE

- Conducting an internal investigation in relation to cross-border issues in a global investment bank's FX sales and trading business.
- Conducting an internal investigation into allegations of financial irregularities raised by a whistleblower in the asset-management sector.
- Conducting an internal investigation for a leading international bank following allegations of non-financial misconduct raised by a whistleblower.
- Acting for a former senior manager in relation to an FCA enforcement investigation concerning allegations of market manipulation and market abuse.
- Acting for a former director of an asset management firm on a 'dual track' criminal and civil FCA investigation relating to alleged financial crime control failings.

RELATED INSIGHTS

Insights

Apr 07, 2021

6 technological solutions to tough challenges; Identifying and managing regulatory and litigation risk

When it comes to complex trading investigations, there is no magic bullet. Our experience acting for both institutions and individuals when conducting investigations in this area and in dealing with criminal charges has revealed recurring themes to keep front of mind.

Insights

Feb 22, 2021

Operational Resilience in the Time of Covid: A New Regulatory Framework for Firms

Covid-19 posed an unprecedented challenge to the operational resilience of the financial services sector in 2020. But despite a generally positive response to the crisis, with a major new regulatory framework for operational resilience on the horizon, firms still have plenty of work to do.

Insights

Apr 14, 2020

OFSI: The UK's hitherto slumbering regulator finally bares its teeth

In its most significant enforcement action to date, HM Treasury's Office of Financial Sanctions Implementation ("OFSI") has imposed a £20.47 million penalty on Standard Chartered Bank ("SCB") for breaching a prohibition imposed by EU financial sanctions legislation. This penalty, the fourth since OFSI's establishment, is of an entirely different magnitude to the three penalties previously imposed and which totalled just over £160,000. The published decision from OFSI is regrettably opaque in identifying the precise considerations that resulted in that unprecedented penalty, provides little positive guidance to financial institutions as to how that penalty for a 'very serious' case has been calculated and how such firms might expect penalties to be calculated in relation to breaches that come to light.