



EMMET P. ONG

Partner

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BIOGRAPHY

Emmet is an accomplished trial attorney with significant experience litigating securities, shareholder, and other complex, high-stakes disputes in federal and state court proceedings and arbitrations around the country. Emmet also has extensive experience handling DOJ, FINRA, and SEC investigations and enforcement matters.

Before joining the firm, Emmet served as an Assistant United States Attorney in the Civil Division for the Northern District of California. In that role, Emmet acted as lead counsel for the United States and its agencies in nearly 100 civil actions and investigations including overseeing numerous healthcare, procurement, and COVID-19 relief fraud investigations under the False Claims Act. Emmet also defended the government in dozens of cases involving civil and constitutional rights, complex medical malpractice and other tort claims, and the judicial review of administrative actions. Emmet successfully briefed and argued matters before the Ninth Circuit and conducted

multiple bench trials, including the first-ever trial under Section 21 of the Toxic Substances Control Act. He and the rest of the trial team were recognized by DOJ for their outstanding work on that matter with an Assistant Attorney General Award for Excellence. Emmet's leadership extended to serving as the office's Civil Health Care Fraud Coordinator and Acting Deputy Chief of the Affirmative Civil Enforcement unit.

As Principal Counsel in the Department of Enforcement at FINRA, Emmet played a critical role in ensuring market integrity. Emmet led investigations into potential violations of federal securities laws and FINRA rules by broker-dealers and their associated persons, where he handled every aspect of the enforcement process, ranging from key witness interviews and case development to negotiating high-stakes settlements.

Prior to becoming an AUSA, Emmet worked as an associate in the New York office of a well-known international firm where he advised prominent, multinational companies in high-stakes, complex matters, including SEC, DOJ and other investigations; civil actions pending in federal and state courts nationwide; FINRA and AAA arbitrations; administrative law proceedings; and breaches of fiduciary duty arising out of mergers, acquisitions, and other transactions.

Prior to law school, Emmet was an investment banker at a bulge bracket bank, where he advised multinational businesses on public and private capital sourcing transactions as well as mergers and acquisitions.

CIVIC INVOLVEMENT & HONORS

- Assistant Attorney General's Award for Excellence, U.S. Department of Justice's Environmental and Natural Resources Division, 2024

ADMISSIONS

- California, 2021
- New York, 2008

EDUCATION

- Columbia University, J.D., 2007
- University of Michigan, BBA, 1997

RELATED PRACTICE AREAS

- Business & Commercial Disputes
- Intellectual Property and Technology Disputes
- Litigation & Dispute Resolution
- Regulation, Compliance & Advisory
- Class Actions & Mass Torts
- Appellate
- White Collar
- Broker-Dealer and Investment Advisor Regulatory Enforcement, Disputes and Investigations
- Healthcare & Life Sciences
- False Claims Act
- Investigations
- Financial Institutions

RELATED INSIGHTS

Insights

Nov 29, 2024

SEC Enforcement Tea Leaves: Expected Priorities in the Second Trump Administration

In this article, attorneys from BCLP's Financial Services Disputes and Investigations (FSDI) team predict the next Administration's SEC Enforcement priorities. The FSDI team includes former SEC, FINRA, and CFTC enforcement attorneys and former federal prosecutors. The team advises clients on SEC and FINRA enforcement, and other securities litigation, matters. How will President-elect Trump's reelection impact the SEC's enforcement priorities? We looked for clues from the first Trump Administration's Enforcement program, and also from the agency's current Republican Commissioners. In the new Administration, we expect the SEC (1) to emphasize protecting retail investors, (2) to refocus crypto enforcement actions on fraudsters, and (3) to take a more conservative approach to corporate disclosure and cybersecurity cases.

Awards

Nov 07, 2024

Former Assistant U.S. Attorney Joins BCLP's Litigation & Investigations Practice